

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Gp2621  
#3  
DBW  
11-2-01

In re application of

Kazuyuki IMAGAWA et al.

Serial No. 09/950,085

Filed September 12, 2001

METHOD AND DEVICE FOR MEDIA EDITING



Docket No. 2001\_1302A

Group Art Unit 2621

THE COMMISSIONER IS AUTHORIZED  
TO C. 1E  
FEES FOR  
ACCOUNT NO. 23-0575

RECEIVED

OCT 30 2001

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents,  
Washington, DC 20231

Technology Center 2300

Sir:

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, Applicants request consideration of ☒ the references listed on attached form PTO-1449 and/or ☐ the additional information identified below in paragraph 3. A legible copy of each reference listed on the form PTO-1449 and each U.S. patent application listed below is enclosed.

1a. ☒ This Information Disclosure Statement is submitted:

within three months of the filing date (or of entry into the National Stage) of the above-entitled application, or

before the mailing date of the first Office Action on the merits,

and thus no certification and/or fee is required.

1b. ☐ This Information Disclosure Statement is submitted

after the events of above paragraph 1a and prior to the mailing date of a final Office Action or a Notice of Allowance or an action which otherwise closes prosecution in the application, and thus:

(1) ☐ the certification of paragraph 2 below is provided, or

(2) ☐ the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

1c. ☐ This Information Disclosure Statement is submitted:

after the mailing date of a final Office Action or Notice of Allowance or action which otherwise closes prosecution in the application, and prior to payment of the issue fee, and thus:

**the certification of paragraph 2 below is provided, and**

**the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.**

2. It is hereby certified

a. ☐ that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or

b. ☐ that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the Statement.

3. ☐ Consideration of the following list of additional information (including any copending or abandoned U.S. application, prior uses and/or sales, etc.) is requested.

4. For each non-English language reference listed on the attached form PTO-1449, reference is made to:

a. ☐ a full or partial English language translation submitted herewith,

b. ☐ a foreign patent office search report (in the English language) submitted herewith,

- c. ☒ the concise explanation contained in the specification of the present application at pages 3 and 4,
- d. ☐ the concise explanation set forth in the attached English language abstract,
- e. ☐ the concise explanation set forth below or on a separate sheet attached to the reference:
5. ☐ A foreign patent office search report citing one or more of the references is enclosed.

Respectfully submitted,

Kazuyuki IMAGAWA et al.

By



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October 26, 2001

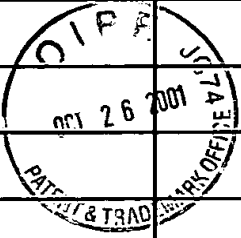
FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)  
(Use several sheets if necessary)

Date Submitted to PTO: October 26, 2001

ATTY DOCKET NO.  
2001\_1302ASERIAL NO.  
09/950,085APPLICANT  
Kazuyuki IMAGAWA et al.FILING DATE  
September 12, 2001GROUP  
2621

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						RECEIVED
	AG						OCT 30 2001
	AH						Technology Center 2800
	AI						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	AJ	6-343146	12/13/94	JP			
	AK	9-294239	11/11/97	JP			
	AL	5-91407	04/09/93	JP			
	AM	5-161131	06/25/93	JP			
	AN						

## OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

	AO	Kiyotake Yachi, Toshikazu Wada and Takashi Matsuyama, "Human Head Tracking Using Adaptive Appearance Models With a Fixed-Viewpoint Pan-Tilt-Zoom Camera", MIRU2000, Meeting on Image Recognition and Understanding, pp. 9-14.
	AP	Atsushi Matsuo, Naruatu Baba, Tsukasa Noma, Hideaki Matsuo and Toshiaki Ejima, "Head Classifier: A Real-Time Face Classification System", 7th Symposium on Sensing Via Image Information, pp. 411-416.
	AQ	Osamu Yamaguchi and Kazuhiro Fukui, "Smartface" -- A Robust Face Recognition System Under Varying Facial Pose and Expression", Publication of The Electronic Information Communications Society, Vol. J84-D-II, No. 6, June 2001, pp. 1045-1052.

EXAMINER

DATE CONSIDERED